



MEETING:     AUDIT AND GOVERNANCE COMMITTEE

DATE:         Wednesday 5th December, 2018

TIME:         3.00 pm

VENUE:        Birkdale Room - Southport Town Hall, Lord Street, Southport, PR8  
1DA

## Member

Councillor  
Councillor Brennan (Chair)  
Councillor Roche (Vice-Chair)  
Councillor Blackburne  
Councillor Linda Cluskey  
Councillor McGinnity  
Councillor Pugh  
Councillor John Sayers  
Councillor Shaw  
Councillor Anne Thompson  
Councillor Sir Ron Watson

## Substitute

Councillor  
Councillor McKinley  
Councillor Roscoe  
Councillor Bradshaw  
Councillor Brenda O'Brien  
Councillor Marshall  
Councillor Ashton  
Councillor Killen  
Councillor Daniel Lewis  
Councillor Yvonne Sayers  
Councillor Bliss

COMMITTEE OFFICER:     Ruth Appleby  
                                  Democratic Services Officer

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**If you have any special needs that may require arrangements to facilitate your attendance at this meeting, please contact the Committee Officer named above, who will endeavour to assist.**

We endeavour to provide a reasonable number of full agendas, including reports at the meeting. If you wish to ensure that you have a copy to refer to at the meeting, please can you print off your own copy of the agenda pack prior to the meeting.

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# A G E N D A

1. **Apologies for absence**

2. **Declarations of Interest**

Members are requested to give notice of any personal or prejudicial interest and the nature of that interest, relating to any item on the agenda, in accordance with the Members Code of Conduct.

3. **Minutes of the Meeting held on 19 September 2018** (Pages 5 - 8)

4. **Local Government Audit Committee Briefing** (Pages 9 - 22)

Report of the Council's External Auditors – Ernst and Young LLP

5. **ICT Security Policy** (Pages 23 - 90)

Report of the Head of Corporate Resources

6. **Treasury Management Position to October 2018** (Pages 91 - 102)

Report of the Head of Corporate Resources

7. **Corporate Risk Management** (Pages 103 - 140)

Report of the Head of Corporate Resources

8. **Risk and Audit Service: Performance Report** (Pages 141 - 166)

Report of the Head of Corporate Resources

9. **Exclusion of Press and Public**

The following report is *not* Exempt/Confidential, *but includes appendices which contain exempt information* as defined in Paragraph 3 of Part 1 of Schedule 12A under Section 100A(4) of the Local Government Act 1972. Namely,

- Item 10 – Appendices 1 and 2

Members are therefore requested to indicate whether or not they wish to discuss any matters referred to in the exempt appendices and accordingly, consider passing the following resolution:

That, under Section 100A(4) of the Local Government Act,

1972, the press and public be excluded from the meeting for any items of business which might involve the likely disclosure of exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A to the Act. The Public Interest Test has been applied and favours exclusion of the information from the Press and Public.

- 10. Revenues Service - Write Off of irrecoverable Business Rates and Sundry Debts with balances over £10,000** (Pages 167 - 178)
- Report of the Head of Corporate Resources