

MEETING: AUDIT AND GOVERNANCE COMMITTEE
DATE: Wednesday 16th March, 2022
TIME: 3.00 pm
VENUE: Assembly Hall - Bootle Town Hall, Trinity Road, Bootle, L20 7AE

Member

Councillor Robinson (Chair)
Councillor Roche (Vice-Chair)
Councillor D'Albuquerque
Councillor Dowd
Councillor McGinnity
Councillor John Joseph Kelly
Councillor Pugh
Councillor John Sayers
Councillor Shaw
Councillor Sir Ron Watson

Substitute

Councillor Bradshaw
Councillor Page
Councillor Riley
Councillor Murphy
Councillor Yvonne Sayers
Councillor Spencer
Councillor Lewis
Councillor Sonya Kelly
Councillor Evans
Councillor Prendergast

COMMITTEE OFFICER: Ruth Appleby
Democratic Services Officer
Telephone: 0151 934 2181
E-mail: ruth.appleby@sefton.gov.uk

See overleaf for COVID Guidance and the requirements in relation to Public Attendance.

If you have any special needs that may require arrangements to facilitate your attendance at this meeting, please contact the Committee Officer named above, who will endeavour to assist.

We endeavour to provide a reasonable number of full agendas, including reports at the meeting. If you wish to ensure that you have a copy to refer to at the meeting, please can you print off your own copy of the agenda pack prior to the meeting.

COVID GUIDANCE IN RELATION TO PUBLIC ATTENDANCE

In light of ongoing Covid-19 social distancing restrictions, there is limited capacity for members of the press and public to be present in the meeting room indicated on the front page of the agenda at any one time. We would ask parties remain in the meeting room solely for the duration of consideration of the Committee report(s) to which their interests relate.

We therefore request that if you wish to attend the Committee to please register in advance of the meeting via email to ruth.appleby@sefton.gov.uk by no later than **12:00 (noon) on the day of the meeting.**

Please include in your email –

- Your name;
- Your email address; and
- Your Contact telephone number.

In light of current social distancing requirements, access to the meeting room is limited.

We have been advised by Public Health that Members, officers and the public should carry out a lateral flow test before attending the meeting, and only attend if that test is negative. Provided you are not classed as exempt, it is requested that you wear a mask that covers both your nose and mouth.

AGENDA

1. Apologies for absence

2. Declarations of Interest

Members are requested at a meeting where a disclosable pecuniary interest or personal interest arises, which is not already included in their Register of Members' Interests, to declare any interests that relate to an item on the agenda.

Where a Member discloses a Disclosable Pecuniary Interest, he/she must withdraw from the meeting by switching their camera and microphone off during the whole consideration of any item of business in which he/she has an interest, except where he/she is permitted to remain as a result of a grant of a dispensation.

Where a Member discloses a personal interest he/she must seek advice from the Monitoring Officer or staff member representing the Monitoring Officer by 12 Noon the day before the meeting to determine whether the Member should withdraw from the meeting, during the whole consideration of any item of business in which he/she has an interest or whether the Member can remain in the meeting, or remain in the meeting and vote on the relevant decision.

3. Minutes

(Pages 5 - 14)

Minutes of the meeting held on 15 December 2021

4. ICT Acceptable Usage Policy

(Pages 15 - 32)

Report of the Executive Director of Corporate Resources and Customer Services

5. Corporate Risk Management

(Pages 33 - 66)

Report of the Executive Director of Corporate Resources and Customer Services

6. Sefton Council Anti-Money Laundering Policy Update

(Pages 67 - 70)

Report of the Executive Director of Corporate Resources and Customer Services

7. Internal Audit Charter and Annual Audit Plan

(Pages 71 - 104)

Report of the Executive Director of Corporate Resources and Customer Services

8. **Treasury Management Position to January 2022** (Pages 105 - 114)
Report of the Executive Director of Corporate Resources and Customer Services
9. **Risk and Audit Service Performance** (Pages 115 - 156)
Report of the Executive Director of Corporate Resources and Customer Services
10. **Audit and Governance Committee Member Training and Development** (Pages 157 - 170)
Report of the Executive Director of Corporate Resources and Customer Services
11. **Audit and Governance Committee Work Programme 2022-2023** (Pages 171 - 184)
Report of the Executive Director of Corporate Resources and Customer Services