



MEETING: AUDIT AND GOVERNANCE COMMITTEE

DATE: Wednesday 16th December, 2020

TIME: 3.00 pm

VENUE: Remote Meeting

Member

Councillor Robinson (Chair)
Councillor Roche (Vice-Chair)
Councillor Brennan
Councillor Cluskey
Councillor McGinnity
Councillor O'Hanlon
Councillor Pugh
Councillor John Sayers
Councillor Shaw
Councillor Sir Ron Watson

Substitute

Councillor Bradshaw
Councillor Carr
Councillor Dowd
Councillor Roscoe
Councillor Yvonne Sayers
Councillor Irving
Councillor Evans
Councillor Spencer
Councillor Lewis
Councillor Brough

COMMITTEE OFFICER: Ruth Appleby
Democratic Services Officer
Telephone: 0151 934 2181
E-mail: ruth.appleby@sefton.gov.uk

If you have any special needs that may require arrangements to facilitate your attendance at this meeting, please contact the Committee Officer named above, who will endeavour to assist.

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A G E N D A

1. Apologies for absence

2. Declarations of Interest

Members are requested at a meeting where a disclosable pecuniary interest or personal interest arises, which is not already included in their Register of Members' Interests, to declare any interests that relate to an item on the agenda.

Where a Member discloses a Disclosable Pecuniary Interest, he/she must withdraw from the meeting by switching their camera and microphone off during the whole consideration of any item of business in which he/she has an interest, except where he/she is permitted to remain as a result of a grant of a dispensation.

Where a Member discloses a personal interest he/she must seek advice from the Monitoring Officer or staff member representing the Monitoring Officer by 12 Noon the day before the meeting to determine whether the Member should withdraw from the meeting, during the whole consideration of any item of business in which he/she has an interest or whether the Member can remain in the meeting, or remain in the meeting and vote on the relevant decision.

3. Minutes

(Pages 5 - 10)

Minutes of the meeting held on 16 September 2020

4. Statement of Accounts 2019/2020

Report of the Executive Director of Corporate Resources and Customer Services

5. Amended Data Protection and Confidentiality Policy

(Pages 11 - 50)

Report of the Executive Director of Corporate Resources and Customer Services

6. ICT Security Policy

(Pages 51 - 74)

Report of the Executive Director of Corporate Resources and Customer Services

7. Corporate Risk Management

(Pages 75 - 128)

Report of the Executive Director of Corporate Resources and Customer Services

- 8. Risk and Audit Service Performance Report** (Pages 129 - 166)
Report of the Executive Director of Corporate Resources and Customer Services
- 9. Sefton Council Anti-Money Laundering Policy** (Pages 167 - 186)
Report of the Executive Director of Corporate Resources and Customer Services
- 10. Treasury Management Mid-Year Report 2020/21** (Pages 187 - 196)
Report of the Executive Director of Corporate Resources and Customer Services