**BOOTLE CANALSIDE - Meanwhile Uses** | **Date: March 2022**

| Details of Risk | | | | | | | | Existing Controls | | | | Actions |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Project Ref | Risk Description | Trigger | Result | Owner | Likelihood | Impact | Inherent Risk Score | | Likelihood | Impact | Residual Risk Score | Proposed Action Plans |
| 1 | **Client Issues** | | | | | | | | | | | |
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| | Council Resources not secured | Cabinet Meeting in May 22 | Will not have the resources to deliver scheme | Regen | 3 | 4 | 12 | Ensure that correct detailed information is provided to Cabinet to recceive approval | 2 | 4 | 8 | Submission of relevant information to ensure Cabinet consideration |
| | Canal and River Trust Surveys not completed in timescales | Early engagement with Trust | Will not be able to access land to complete scheme | Regen | 3 | 4 | 12 | Ensure surveys are completed | 2 | 4 | 8 | Ensure no obstacles to completion of surveys |
| | CRT Land agreement not secured | Early engagement with CRT legal team | Will not be able to secure site to deliver scheme | Regen | 3 | 4 | 12 | Liaison with CRT to agree details at earliest opportunity | 2 | 4 | 8 | Liaison with CRT to ensure unrestricted access |
| | No engagement from project partners | Early engagement and consultation with key partners | Possibility project could delay | Regen | 3 | 4 | 12 | Ensure effective engagement processes are in place | 2 | 4 | 8 | Effective package of site information |
| | No interest in commercial units | Receipt of offer responses for space | Will not be able to let space and lose income | Regen | 3 | 4 | 12 | Effective marketing strategy on acceptable terms | 2 | 4 | 8 | Marketing Strategy |
| | Client side approvals to funding and legal agreements not secured | Delays to legal approvals processes | Will not be able to meet project delivery timescales | Regen | 3 | 4 | 12 | Ensure early engagement with key partners | 2 | 4 | 8 | programme for delivery |
| | Operation arrangement not approved | Decision of Cabinet | Site cannot function effectively | Regen | 3 | 5 | 15 | Ensure credible business plan is presented for approval | 2 | 4 | 8 | Festival of Ideas Programme |
| | Third Party funding not defrayed | Delays in letting contracts | Loss of Funding | Regen | 3 | 4 | 12 | Ensure ealy arning on payments | | | | |
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| 2 | **Regulatory Issues** | | | | | | | | | | | |
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| | Planning conditions not discharged | On application to discharge | Will not be able to progress with project delivery | Regen / P | 3 | 4 | 12 | Liaison with Planning colleagues | 2 | 3 | 6 | Pre application discussions with planning |
| | Partner legal agreements not secured | When draft legal agreements received by Council | Will not be able to progress with project delivery | Regen | 3 | 5 | 15 | Ensure ongoing;laison with partners | 2 | 3 | 6 | Ongoing Liaison with partners |
| | Licenses for proposed commercial uses not secured | When Applications made to Council | Will not be able to progress with project delivery | Regen / P | 3 | 4 | 12 | Ensure acceptable terms agreed in docs | 2 | 3 | 6 | Ongoing Liaison with partners |
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| 3 | **Procurement** | | | | | | | | | | | |
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| | Delays to Operator procurement process | On receipt of Tender submissions | Will delay opening of project | Regen | 3 | 4 | 12 | Ensure credible business plan submitted for approval | 2 | 3 | 6 | Ensure that comprehensive information is provided to ensure interest in the site |
| | Negative effect of COVID 19 on procuring works - no bids for works packages | On receipt of Tender submissions | Will delay implementation of project | Regen | 3 | 3 | 9 | Market Testing | 2 | 3 | 6 | Management controls are put in place re risk assessments |
| | Project costs come in over budget | On receipt of tenders | Will delay implementation of project | Regen | 3 | 3 | 9 | Ensure market testing prior to tender to ensure competitive bids | 2 | 3 | 6 | Effective liaison with contractor |
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| 4 | **Legal Issues** | | | | | | | | | | | |
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| | Future legal agreements not acceptable | On receipt of Legal Agreements | Will delay implementation of project | Regen / Legal | 3 | 4 | 12 | Ensure through discusson that details of agreement will be acceptable to Council | 3 | 3 | 9 | Ensure liaison prior to issue of GFA |
| | Legal contracts not agreed/delayed | On receipt of Legal Agreements | Will delay implementation of project | Regen/ Legal | 3 | 5 | 15 | Legal and contractual protocols | 2 | 5 | 10 | Ensure legal requirements clearly set out in tender documentation |
| | CRT legal conditions not acceptable | Early discussions with CRT as a land owner | Will delay or possibly prevent implementation of full project | Regen/ Legal | 3 | 4 | 12 | Legal and contractual protocols | 3 | 3 | 9 | Ensure CRT understand strategic impotance of site |
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| 5 | **Design Issues** | | | | | | | | | | | |
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| | Designs not acceptable to project group | During design process | Will delay implementation of project | Regen/ Design team | 2 | 3 | 6 | Ensure effective project liaison through project meetings | 2 | 2 | 4 | Ensure effective stakeholder control |
| | Client approvals not secured | During design process | Will delay implementation of project | Design Team | 3 | 5 | 15 | Design sign off stages | 3 | 4 | 12 | |
| | Goods and Services not available due to continued Covid isues | Following procurement process | Will delay implementation of project | Regen | 3 | 4 | 12 | Soft Market Testing | 2 | 3 | 6 | |
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| 6 | **Construction Issues** | | | | | | | | | | | |
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| | Contractor not able to deliver in timescales | During Development process | Will delay or possibly prevent implementation of project | Regen / Design Team | 3 | 5 | 15 | Detailed monitoring to be undertaken | 3 | 4 | 12 | Ensure sufficient surveys are undertaken as part of demolition and site clearance contract |
| | Abnormal ground conditions found | During construction contract | Will delay or possibly prevent implementation of project | Design Team | 3 | 4 | 12 | Detailed surveys to be undertaken | 3 | 3 | 9 | Ensure sufficient surveys are undertaken as part of demolition and site clearance contract |
| | Infrastructure requirement cannot be implemented | During construction contract | Will delay or possibly prevent implementation of project | Design Team | 3 | 4 | 12 | Detailed surveys to be undertaken | 3 | 3 | 9 | Ensure sufficient surveys are undertaken as part of demolition and site clearance contract |

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| Action Owner | Target Date | Target score | Action Status |
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