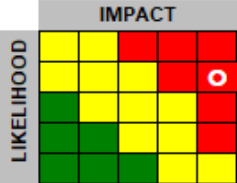
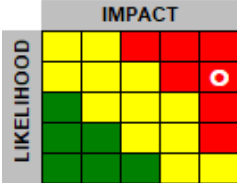
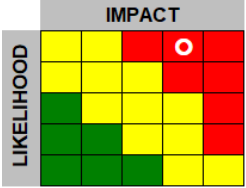
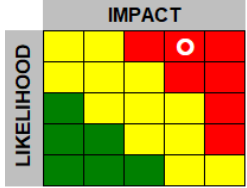
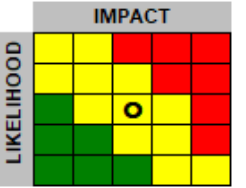

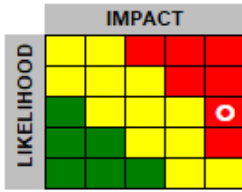
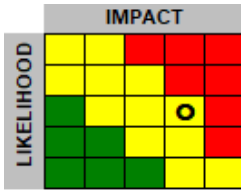

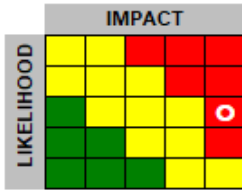
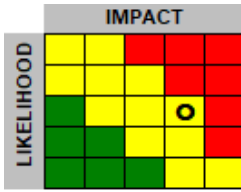


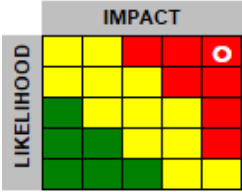
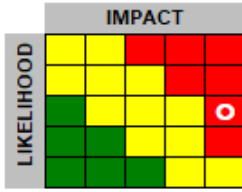
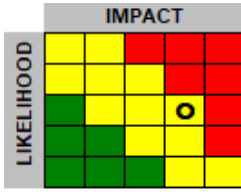
Risk Description	Previous risk score	Current risk score	Target risk score
Significant impact on Service Delivery for Planning and Sefton LA			
Causes	Government are completing an overhaul of Planning System		
Result	Impact - Requirement to review and amend local plan, impact on financials as creates a removal of revenue. Loss of control with potential impact on Town Centre Recovery and Community cohesion		
Current treatments and controls	Added on 05.08.20 impact and associated actions not yet known, requires escalation onto CRR As controls and Govt detail become clearer the impact will be re-assessed and this may be removed from CRR.		
Risk owner	DM		
Proposed actions	The Actions associated are still to be defined and finalised as Government guidance on requirements still needs further clarity, maintain on the CRR		


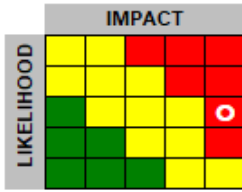
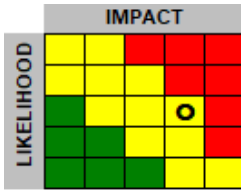
Risk Description	Previous risk score	Current risk score	Target risk score
<p>Failure to effectively manage and support the response to a pandemic incident</p>			
Causes	<p>Major pandemic incident occurs where there are multiple community outbreaks of COVID-19 impacting on the local area and staff. Recovery plans not in place.</p>		
Result	<ul style="list-style-type: none"> • Increased morbidity and mortality • Reduced capacity across the Local Authority and partner organisations. • 20-35% staff absences anticipated in services across the Local Authority and partner organisations. • Services delivery reduced as a result of the implementation of business continuity. • Increased demand of services in response to outbreaks. • Increased social anxiety. • Services, businesses and schools being temporarily closed. • Surge of demand in supply chains. • Negative socio-economic impact. • High level of media and public interest in the Council's actions • Loss of reputation 		
Current treatments and controls	<ul style="list-style-type: none"> • Sefton Council Strategic Coordination Group (SCG) for COVID-19 established and meeting weekly. Sefton COVID-19 Working Group (Tactical level Co-ordination Group) established and meeting weekly. Sefton Governance structure and operational response cells in place to manage impacts of COVID-19 and support Sefton residents, businesses and delivery of council services. Sefton operational cells align to multi-agency workstreams on the Merseyside Resilience Forum (MRF), Liverpool City Region and other regional / North West forums. • Engagement with the Merseyside Resilience Forum (MRF) and participation in twice weekly multi-agency Strategic and Tactical Co-ordination Groups to manage response to COVID-19 impacts for Merseyside. Sefton Officers Chair, lead and are active members of multiple MRF multi-agency response cells working across the Merseyside footprint and linked into national government via MHCLG. • Enhanced partnership working with Sefton based agencies to deliver effective response outcomes e.g. Sefton CVS, CCGs, Health agencies, Care Homes, schools and private sector organisations. • Enhanced working practices to facilitate remote, agile and home working for majority of staff. Robust IT systems to allow virtual meetings and extended customer contact centre opening hours. Co-ordinated approach to recruit and mobilise volunteers / council staff to deliver support to Sefton residents / communities who become vulnerable to the impacts of the pandemic. Re-prioritisation of council activities and re-direction of staff roles to provide support where it most needed or to 		

	<p>enhance capacity for service areas that become severely under staffed as a result of increase in demand or loss of personnel able to work.</p> <ul style="list-style-type: none"> • Weekly situation reporting (sitreps) from service areas to monitor impacts of pandemic to council business as usual activities and Response Cells to escalate issues for decision to Sefton TCG / SCG as necessary. • Pro-active Communications strategy for public facing, internal and multi-agency working, co-ordination of information and guidance updates and out of hours on call Comms rota. • Links to national guidance and Gov.uk latest information repository pushed out via staff intranet, social media and other partner communications /news platforms. • Sefton Major Incident Guidance Document / Sefton Business Continuity Policy / Sefton Business Continuity Strategy / Service Area COVID-19 BC plans / E- learning module on Business Continuity • Sefton dedicated and knowledgeable teams including Public Health Team and Risk and Resilience Function • Continuous reinforcement of hand hygiene and infection control messages from Public Health both internally and externally. • Regular Communications from HoS and Senior Managers to staff with regard to observation of social distancing and on-going 'stay safe' COVID-19 advice including continue to work at home wherever possible.
Risk owner	DPH/CEO
Proposed actions	<ul style="list-style-type: none"> • Update Council Pandemic Plans post COVID-19 response • Transition and Recovery <ul style="list-style-type: none"> - Transition and Recovery Plans in early stages of development. Sefton SCG and TCG will co-ordinate planning for this. • - MRF multi-agency Strategic Recovery Co-ordinating Group planning in development. • - Place based planning in progress • - Sefton Outbreak Plan in development for Test Track and Trace system in line with national government directive for future working.


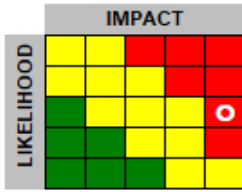

Risk Description	Previous risk score	Current risk score	Target risk score
The Council is the victim of a cyber-attack.			
Causes	Malware, ransomware or another virus infects the Council's systems.		
Result	<ul style="list-style-type: none"> Services will not have access to systems and data as standard and will have to fall back on non-ICT delivery methods, albeit without access to key data. Data breach occurs. Financial impact of ransom. Reputational damage 		
Current treatments and controls	<ul style="list-style-type: none"> Cyberattack prevention measures are in place, including <ul style="list-style-type: none"> Upgraded Council firewalls and active SIEM monitoring service. Anti malware tools New Acceptable use policy ready for deployment LGA Stocktake completed PSN Accreditation achieved Back-up disaster recovery facility is in place at a separate site, allowing Agilisys to restore the top 20 critical systems. Agilisys has a Business Continuity-Disaster Recovery plan in place which covers an action plan for this priority restoration, and the subsequent restoration of all other systems - this is aligned to the Business Continuity work programme Ongoing monitoring in in place via ICT governance arrangements Windows Defender anti-virus software is constantly updated- the Council will move to ESET imminently Communication to employees regarding the rise in malware attacks is in place, with plans to roll out better user education on this topic. 		
Risk owner	ED CR&CS		
Proposed actions	<ul style="list-style-type: none"> The ongoing ICT Transformation programme will see the majority of systems and data migrated to Microsoft Azure cloud hosting, which will reduce the overall risk; however, a review of all security controls is underway by the Security Committee Deployment of industry standard ESET solution in progress Formal rollout of Cyber Security Awareness training. Develop new Business Continuity-Disaster Recovery plan in line with wider Corporate review of Business Continuity 		


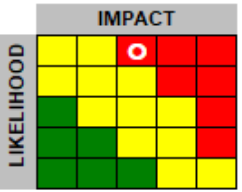
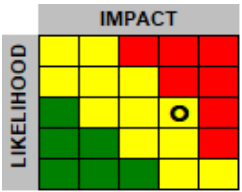
Risk Description	Previous risk score	Current risk score	Target risk score
Financial sustainability post 2020.			
Causes	<ul style="list-style-type: none"> The national review of local government funding and the next financial settlement places further strain on the Council's overall medium-term budget. Due to the scale of budget reductions since 2010 there is a risk that further suitable cost-saving/income generating measures will be difficult to identify. The current pandemic will also significantly affect both the in year and future years budget pending advice and further support from central government The impact of the Global pandemic will also have an impact on the council's finances with increased demand for services, a reduction in income and reductions in collection Fund income 		
Result	<ul style="list-style-type: none"> Degradation of service could have an adverse impact on residents and communities The reputation of the Council may be compromised Financial sustainability could be compromised 		
Current treatments and controls	<ul style="list-style-type: none"> Work is ongoing to deliver financial sustainability. A budget Plan for 2020/21 was agreed by Council on 27 February 2020 and an updated MTFP for 2021/22 to 2022/23 was approved by cabinet in October 2020. Further reports will be presented to each Cabinet meeting on the potential impact of COVID 19 together with required actions throughout the year The financial sustainability of the council at this time will be driven by the support offered by central government to mitigate the impact of COVID 19. The spending review announcement on 25 November and subsequent local government finance settlement are therefore pivotal in supporting sustainability- the Council with LCR partners have lobbied extensively MHCLG and Treasury on the impact of COVID and the support that is required in the current and future years 		
Risk owner	All Heads of Service		
Proposed actions	<ul style="list-style-type: none"> Deliver the Councils Budget Plan 2020/21 and manage the financial impact of COVID Continually update the Council's MTFP for the 2 years from 2021/22 to 2022/23 to take account of the spending review and local government settlement Start the development of budget proposals for 2021/22 and beyond as part of the Framework for Change 2020 taking full account of COVID19 Inform the governments fair funding review and comprehensive spending review and lobby for additional support to mitigate the impact of COVID 19 		

Risk Description	Previous risk score	Current risk score	Target risk score
Data breach resulting in the wrongful release of personal and/or sensitive information			
Causes	Policies and processes coordinated by Information Management and Governance Executive Group are not adhered to, resulting in a higher incidence of breaches caused by human error System error occurs		
Result	Failure to comply with legal requirements; loss of privacy, distress or harm to the data subject; damage to Council's reputation; loss of public confidence; and significant financial penalties.		
Current treatments and controls	<ul style="list-style-type: none"> Information management and governance, including data breaches and actions to prevent data breaches, is overseen by the Information Management and Governance Executive Group (IMGEG), which consists of Heads of Service with lead responsibilities for key aspects of IMG (i.e. designated Chief Information Officer, Senior Information Risk Owner and Lead officer for ICT infrastructure) supported by other officers with key roles relating to IMG. Each service has designated Information Asset Owners and Information Asset Administrators. Policies, procedures, processes and issues are communicated to these officers through the Information Management and Governance Tactical Group. Support, co-ordination, advice and guidance is provided corporately, and appropriate training/refresher training is in place The Council has implemented policies, procedures and processes to prevent, manage and respond to potential and actual data breaches. 		
Risk owner	All Heads of Service		
Proposed actions	<ul style="list-style-type: none"> Appropriate resourcing, prioritisation and focus on information management and governance across the Council include the following: Regular monitoring and review by IMGEG of policies, procedures and processes to prevent, manage and respond to potential and actual data breaches. Ongoing review of information systems to ensure no inappropriate or unforeseen data linkages exist within systems or reports. Review of systems ahead of updates to identify any unintended changes. Ongoing education of staff and monitoring of activity by IAOs and IAAs to identify and prevent areas of human error. Regular review of information contained to ensure information is accurate and any information that should be removed is removed. Regular reporting by IMGEG to SLB and Audit and Governance Committee as necessary Maximise the opportunities from the Council's ICT Transformation to increase and embed effective information management and governance 		


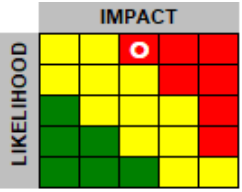
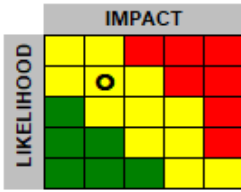
Risk Description	Previous risk score	Current risk score	Target risk score
<p>Failure to manage historic records effectively</p>			
Causes	<p>The risk is amplified by the implementation of GDPR (in particular the right to erasure and tighter deadlines for response to Subject Access Requests). In addition, the Council's Asset Maximisation programme which may lead the Council to leaving, redeveloping or selling buildings where records are held and moving to Paper Light working arrangements.</p>		
Result	<ul style="list-style-type: none"> • Failure to comply with legal requirements relating to retention, consideration, release or correct disposal of historical information; damage to Council's reputation; loss of public confidence; and significant financial penalties. • Historical information is not stored or managed correctly, such that it is lost, damaged or incorrectly disposed of • Not known to the organisation when making relevant decisions; retained when it should have been correctly disposed of. 		
Current treatments and controls	<ul style="list-style-type: none"> • Information management and governance is overseen by the Information Management and Governance Executive Group (IMGEG), which consists of Heads of Service with lead responsibilities for key aspects of IMG (i.e. designated Chief Information Officer; Senior Information Risk Owner; and Lead officer for ICT infrastructure), supported by other officers with key roles relating to IMG. • Each service has designated Information Asset Owners and Information Asset Administrators. Policies, procedures, processes and issues are communicated to these officers through the Information Management & Governance Tactical Group. • Support, co-ordination, advice and guidance is provided corporately and appropriate training/refresher training is in place. • The Council has implemented policies, procedures and processes for the management of information and has in place corporate contracts for appropriate digitisation, disposal and archive storage services. • The Council has implemented a Historic Records Pilot Project to identify the scale, condition and correct management of all historic records held. This project reports regularly to IMGEG. • Regular monitoring and review by IMGEG of policies, procedures and processes to prevent, manage and respond to potential and actual data breaches. • Regular communications to workforce reminding them of training and responsibilities. 		


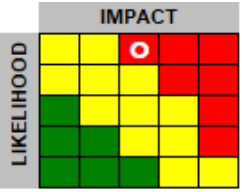
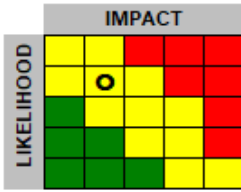
Risk owner	All Heads of Service
Proposed actions	<ul style="list-style-type: none"> • Appropriate resourcing, prioritisation and focus on information management and governance across the Council, including support for Information Asset Owners and Information Asset Administrators including action on the following. • Regular monitoring and review by IMGEG of policies, procedures and processes for the management of information, including historic information. • Regular monitoring and review by IMGEG of the progress and implications of the Historic Records Pilot Project, including reporting to SLB and Audit and Governance Committee as necessary. • Maximise the opportunities from the Council's ICT Transformation to increase and embed effective information management and governance. • Commission training to improve understanding of data handling requirements and checks and controls • Ongoing education of staff and monitoring of activity by IAOs and IAAs to identify and prevent areas of human error.

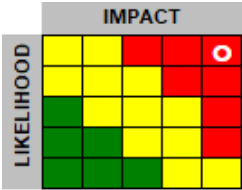
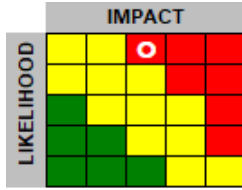
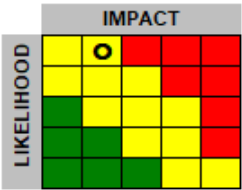
Risk Description	Previous risk score	Current risk score	Target risk score
<p>Inadequate capability to prepare for and respond effectively to a major incident affecting the Council or occurring in Sefton as per the Council's responsibilities under the Civil Contingencies Act 2004.</p>			
Causes	<p>A major incident occurs affecting the Council or the Borough. This risk is accentuated as the government has determined the terror threat level as "severe" and was raised to "critical" on two occasions in 2017.</p>		
Result	<ul style="list-style-type: none"> • Loss of human life, illness or serious injury • Major damage or destruction to infrastructure, property and/or the environment • Disruption or loss of critical services such as transport, communications, utility services • Reputational or financial harm to the authority 		
Current treatments and controls	<ul style="list-style-type: none"> • Emergency Response Manual and Major Incident Guidance in place. • Revised Command and Control structure in place which defines Strategic and Tactical level officers. • Emergency Duty Co-ordinators invited to attend quarterly briefing sessions, and all are able to access Resilience Direct containing incident response plans. • Relevant training provided to Emergency Duty Co-ordinators and volunteers on an ongoing basis. • Attendance and participation in Merseyside Resilience Forum and joint planning across Merseyside. • Humanitarian volunteers in place and team strengthened following successful recruitment drive. • Plans for response and recovery are the subject of ongoing review and update, particularly in light of the government's assessment of the terror threat level as "severe". • Ongoing development and review of supporting plans. • Business Impact Analysis training for the Risk and Resilience team has been completed. This has resulted in the production of BIAs for all service areas apart from Communities. • Business Continuity Policy and strategy have been devised and approved. 		
Risk owner	<p>All Heads of Service</p>		
Proposed actions	<ul style="list-style-type: none"> • Business Continuity Planning Implementation Plan has been devised and is currently being implemented. This includes the following: • Business Impact analysis production in Communities Services Area is currently being undertaken. • Service Level Business Continuity Plans will be complete by end December 2020. • Sefton Corporate Level Business Continuity Plan to be produced by end February 2021. • Business Continuity Testing and exercising to be undertaken. 		

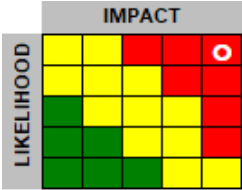
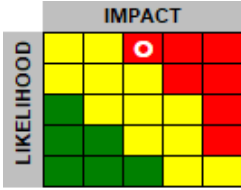
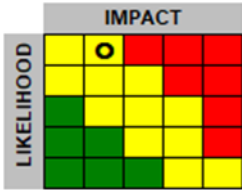
Risk Description	Previous risk score	Current risk score	Target risk score
<p>Dedicated Schools Grant High Needs Funding for Special Educational Needs is inadequate to meet requirements.</p>			
<p>Causes</p>	<ul style="list-style-type: none"> • High Needs budgets are under considerable pressure from increasing numbers of children being diagnosed with complex and life-long SEND related issues. • National funding allocations are not increasing annually to reflect increases in local population demand and so any additional commissioned places need to be financed from within existing budget envelope. • The number and value of requests from mainstream schools for "top-up" funding (for children with SEND) has increased by over 25% in the last 12 months. • Maintained special school provision is full and so more and more children are being placed in independent provision which is more expensive. • Central Government have advocated parental preference for SEND provision - which has added to the number of children being placed in independent provision - with no additional funding. 		
<p>Result</p>	<ul style="list-style-type: none"> • Sefton's High Needs budget is forecast to overspend by £3m this financial year. • The estimated deficit on the high needs block will be held on the Council's Balance Sheet. The DfE do not expect the Local Authority's General Fund to cover accumulated deficits, however the external auditors and CIPFA are still to confirm their interpretation of treatment in the year-end accounts. • Ofsted inspection affected. 		
<p>Current treatments and controls</p>	<ul style="list-style-type: none"> • Engagement with Head of Education Excellence and the SEN team Managers on how costs can be contained. • Sufficiency statement produced that will drive future strategy and financial sustainability • Lobbying and engagement of both DfE and MCCLG on financial impact and the need for increased support • Engagement with special schools actively working with individual schools to review impact of any proposed changes to their funding, reviewing three-year financial plans, identifying any strategic savings to mitigate high calls on DSG High Needs funding. • Review of place and top up levels of funding. • In addition, further work is being undertaken on alleviating the barriers to inclusivity within mainstream settings and assessment of effectiveness of capital spend to maximise mainstream settings for children and young people. • Sefton Schools Forum has agreed to the continuation for an additional year of intra-block funding decisions made in 2019/20 to increase funding available in 2020/21. • The transfer of 0.5% (£0.824m) from the Schools Block to the High Needs Block, will target funding towards supporting the most inclusive schools; 		

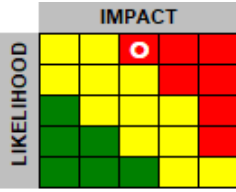
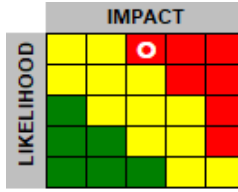
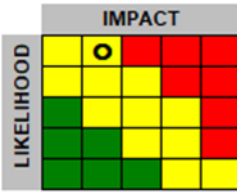
	<ul style="list-style-type: none"> • A transfer from the Early Years Block to the High Needs Block of £200k to support additional provision for 0 to 4-year olds. • The National High Needs Block formula funding allocation to Local Authorities has increased by £780m in 2020/21 compared to the 2019/20 baseline. Sefton's share of the additional funding is £3.853m.
Risk owner	Head of Education Excellence
Proposed actions	<ul style="list-style-type: none"> • Lobbying of Government continues with a view to securing increased funding. • The SEND Schools Forum is the Project Group tasked with implementing an action plan to address the annual overspend, cumulative deficit and developing a sustainable budget. • To date three meetings have taken place and workstreams developed with LA and School representatives as key workstream owners including: • Developing a new funding model to support children with EHCPs; • Clarifying high needs funding outside of the EHCP process; • Reviewing provision and placement sufficiency.

Risk Description	Previous risk score	Current risk score	Target risk score
<p>Failure to manage increasing demand for services within Children’s Social Care</p>			
Causes	<p>Increase in the number of children needing a CSC intervention including increase in LAC and CP Budgets reduced to meet Council Targets has had an impact on the delivery of early help services</p>		
Result	<ul style="list-style-type: none"> • Inability to cope with demand, increased safeguarding risks • Loss of reputation and poor inspection outcomes • Increase in budget pressures • Increase in Social Work Caseloads 		
Current treatments and controls	<ul style="list-style-type: none"> • Regular audit of cases, scrutiny of data and understanding of demand to predict future demand (needs analysis) • Demand Management Programme as part of the next PSR projects in place with a number of workstreams to reduce demand for services 		
Risk owner	<p>HoCSC</p>		
Proposed actions	<ul style="list-style-type: none"> • Demand management workstream with project management • Recruitment of Support workers to undertake non - social work tasks • Managed Service in place to support reduced SW caseloads • Increased resource to discharge Care Orders at home 		

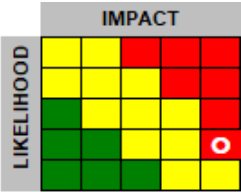
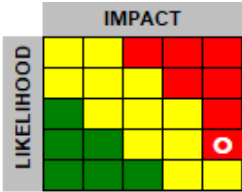
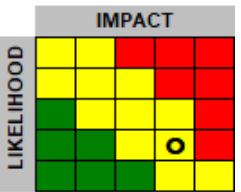
Risk Description	Previous risk score	Current risk score	Target risk score
Failure to plan within annual budget for increased placement costs for Children's Social Care			
Causes	Numbers of children in care increase, demand for placements cannot be met as cost increases Increased cost of placements		
Result	Costs increase and quality and sufficiency of placements decreases		
Current treatments and controls	<ul style="list-style-type: none"> • Fortnightly meeting to monitor placement costs and reduce high cost placements • Programme of market reform in residential market • Focus on recruitment of in house foster carers • Work with LCR on a wider programme of market reform. 		
Risk owner	HoCSC		
Proposed actions	<ul style="list-style-type: none"> • Development of market to meet need • Opportunities to collaborate across LCR to develop market • Regular review of budgets to identify and mitigate pressures • Project Management through Demand Management Workstream • Financial risk needs to be key pillar of future medium term financial plans and annual budget plans with regular in year reporting to inform member decision making 		

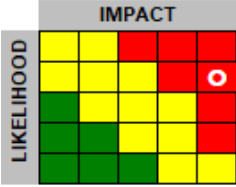
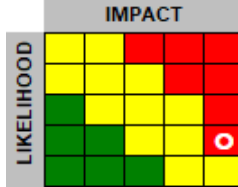
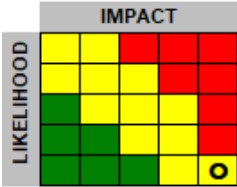
Risk Description	Previous risk score	Current risk score	Target risk score
Impact of regulatory framework and outcome of Joint Target Area Inspection			
Causes	Joint Target Area Inspection with a deep dive into Mental Health identified Priority Actions for the Partnership ILAC inspection likely to take place in the next 6 months		
Result	<ul style="list-style-type: none"> • Services are found to not adequately safeguard children • Reputational damage to the Council and Statutory partners • Significant impact on LA reputation if priority actions are not addressed 		
Current treatments and controls	<ul style="list-style-type: none"> • Improvement plan developed and reviewed by Ofsted, CQC, HMI-CFRS and HMI Probation and confirms demonstrates accurate understanding of areas of priority findings • Governance arrangements reviewed and strengthened with Children's Improvement Partnership Board reporting to Health and Wellbeing Board • Immediate actions taken to address Priority Actions across the partnership • QA framework in place including regular audit and external audit • Preparation in place for ILAC inspection • LGA undertaking some independent audits of cases within CSC 		
Risk owner	HoCSC		
Proposed actions	<ul style="list-style-type: none"> • Written statement of action (Improvement Plan) was submitted to Ofsted on 30th March 2020 • CSC Improvement plan updated and actioned • Performance meetings to continue • Support via Partners in Practice to scrutinise effectiveness of partnership arrangements • Programme of audit and independent audit • 		

Risk Description	Previous risk score	Current risk score	Target risk score
<p>Failure to mitigate impacts of COVID-19, EU Exit, winter, austerity, on the Sefton economy</p>			
<p>Causes</p>	<ul style="list-style-type: none"> • Lack of support for business • Lack of progress on projects that can support recovery • Lack of capital and revenue funding from government • Impact of wider issues on local businesses (e.g. national retailers) • Loss of key employers to the borough and towns, particularly Bootle and HMRC • Impact on key sectors (especially hospitality) in Southport 		
<p>Result</p>	<ul style="list-style-type: none"> • Increased business failure • Increased unemployment • Financial and reputational risks to the Council 		
<p>Current treatments and controls</p>	<ul style="list-style-type: none"> • Effective management of business discretionary grants scheme • Growth programme - project prioritisation and acceleration, with proactive engagement with potential funders and private sector partners • Robust recovery planning - aligned at LCR level and developed locally based on Sefton data and key sectors • Ongoing business support via Invest Sefton in context of pandemic and of EU Exit • Full engagement in regional growth-related forums • Establishment of multi-stakeholder working groups focused on recovery in key town centres • Recovery planning activity in Southport underpinned by Town Deal bid process 		
<p>Risk owner</p>	<p>HoEG&H</p>		
<p>Proposed actions</p>	<ul style="list-style-type: none"> • Actively pursue opportunities for additional external funding via LCR/CA and HMG to develop projects • Investor proposition development and proactive business development activity to ensure attraction and retention of businesses and employers in the borough • Finalise Sefton-specific recovery plans and investor pack materials • Investor Material prepared (Mickledore) in sign off. Additional funding from all sources followed up, Recovery Plan (outward version) ready and prepared with Comms Team. Strategic Recovery Plan - Economy being re-visited in lieu of current changing circumstances 		

Risk Description	Previous risk score	Current risk score	Target risk score
<p>Failure to mitigate risks of, or develop and maximise opportunities from EU Exit</p>			
<p>Causes</p>	<ul style="list-style-type: none"> • Implications of EU Exit, both positive and negative, short-term and long-term, for Sefton and wider city region not fully understood making planning for the event challenging • Lack of clarity at Central Government level regarding the details of EU Exit (including but not limited to deal implications, timescales) • Ineffective engagement with partners across the region on implications and opportunities • Impacts potentially exacerbated by COVID-19, winter, austerity, etc. 		
<p>Result</p>	<ul style="list-style-type: none"> • Increased costs to Council • Service delivery to residents negatively impacted • Loss of reputation • Impact of wider economic change on residents, particularly the most vulnerable • Not positioned to proactively facilitate maximisation of any opportunity arising from EU Exit in the borough, and in the wider city region • Impact on communities of port disruption, increased traffic, etc 		
<p>Current treatments and controls</p>	<ul style="list-style-type: none"> • The Council has a EU Transitions Lead Officer, and is fully engaged with national EU Transitions and Ports groups, as well as all City Region EU Exit Working Groups, the Merseyside Resilience Forum (MRF), North West CEOs and the Ministry of Housing, Communities and Local Government. • A Sefton internal steering group has been set up comprising officers from across the organisation ensuring ongoing communication and preparedness. • The Council has undertaken both community and organisational risk assessments based on central government National Planning Assumptions. • Service Area EU Exit risk registers have been developed following an externally facilitated workshop and remain up-to-date. • Sefton Council officers participate in multiple stakeholder planning groups to prepare for and mitigate identified community and organisational risks; this includes a Task-and-Finish Group focusing on planning for this and other concurrent risk areas. • Officers are continuing to engage with key partners and stakeholders, including but not limited to the Port of Liverpool. • Business engagement and recovery planning on-going to mitigate economic impacts of EU Exit. 		

Risk owner	ED People / ED Place
Proposed actions	<ul style="list-style-type: none">• Maintained Sefton internal steering group• Continued engagement with regional partners on EU Exit preparedness planning (particularly Port of Liverpool)• Ongoing discussions with key partners and stakeholders on latest intelligence and planning• Update service area risk registers to reflect associated risks• Economic recovery planning to support risk mitigation (as above)

Risk Description	Previous risk score	Current risk score	Target risk score
Market failure of Adult Social Care provision			
Causes	<ul style="list-style-type: none"> • Lack of market engagement and market development due to lack of capacity and resource. • Capability and capacity of the available workforce to provide domiciliary care. • Lack of diversity of supply in the market to provide choice and control 		
Result	<ul style="list-style-type: none"> • Inability to provide packages of care for service users • Inability to maximise the opportunity of reablement • Lack of alternative providers able to support social care • Poor quality service provision • Significant increase in unmet needs of service users due to a fragile market that is not developing 		
Current treatments and controls	<ul style="list-style-type: none"> • Market Position Statement 2014 review now complete • Health and Wellbeing Strategy 2020-2025 • Integrated Commissioning Group • Centralised Commissioning Support function • Commissioning priorities and full work plan 		
Risk owner	HoASC/HoSS		
Proposed actions	<ul style="list-style-type: none"> • Revised Market Position Statement to be adopted December 2020 • Health and Wellbeing Strategy 2020-2025 • Recovery and transition review to explore Workforce, process, commissioning and partnerships post pandemic • Winter Planning/checklist • Review and align strategic plans to current contracts to ensure Value for Money and objectives are met. • Continual cycle of review in ways of working • Development of new opportunities through working with LCR, CCG and wider commissioning partnerships. • Commissioning priorities and full work plan. • Workforce development of the independent workforce. • Ensure involvement of key stakeholders • As per commissioning workshop, review structure and workplan to deliver the above. 		

Risk Description	Previous risk score	Current risk score	Target risk score
<p>Failure to adequately invest in the Highway network and associated assets.</p>			
Causes	Budget reductions; inadequate funding levels to meet need.		
Result	<ul style="list-style-type: none"> • Deterioration of highway assets • Potential increase in claims • Financial and reputational risks • Potential increase in accidents resulting in injury and/or death 		
Current treatments and controls	<ul style="list-style-type: none"> • Essential work is prioritised within available budget. Regular inspections of most assets to monitor and guide prioritisation of works in order to mitigate risk. • Regular updates provided to Cabinet Member • Preventive surface treatments used to prolong the life of the network and to treat more of it than if more long-term maintenance solutions were used (i.e. resurfacing) 		
Risk owner	Ho H&PP		
Proposed actions	Actively pursue opportunities for additional external funding via LCR/CA and others to maintain and improve network.		